



Expert Resources and Solutions at Your Service

**Oakbrook Solutions Inc.
Newsletter**

May 2009

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PROVIDING YOU TIMELY AND VALUABLE RESOURCES AND SERVICES

This is the first in a series of communications to keep you abreast of the latest consultants availability, service offerings and WealthWorx® updates.

Dear Colleague

Our industry continues to undergo tremendous challenges as the economic picture changes from week to week. Along with this are increased pressures to both deliver quickly and to reduce costs. Oakbrook Solutions has been assisting our clients for the last 10 years in this effort, whether as a result of acquisitions, system conversions, organizational changes or new development- we are there. As always, we are prepared to offer you expert consultants, service offerings and product solutions, such as WealthWorx®, to reduce your cost and improve your competitive position.

To our clients we thank you for your business and for those of you considering our services thank you for your time and interest.

Regards,

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336-714-0321, ext 2
CEO, Oakbrook Solutions Inc.
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"THE REWARD OF A
THING WELL DONE, IS TO
HAVE DONE IT"

-Ralph Waldo Emerson

Services

Business Needs and Risk Assessment- An evaluation of your current state processes across your front, middle and back office business. We document existing processes, identifying pain-points, risks & gaps, and work with you to develop an action plan to increase efficiency and reduce risk. We have a track record of reducing manual steps by up to 20% without requiring any investment in technology and as much as 50% through the application of our WealthWorx® solution. We will guarantee these results. [Please see our Case Study for benefits.](#)

Organizational Transition Management (OTM)- A process to prepare your organization for acquisition or consolidation. Many organizations have an internal methodology for acquisitions, mergers and system changes. Few have a repeatable process based upon broad industry experience. We have developed significant expertise and methodologies through the experience gained in over 20 large conversions covering all major suppliers. As the industry continues to consolidate we can offer you significant value by applying our OTM methodology.

Systems Integration Services - Ability to assist clients with business requirements, functional design and integration of multiple applications and platforms. Our business/product/technical expertise in the Wealth Management industry matched with our experience level means we can significantly reduce client's risk associated with complex technology projects.

Significant risk mitigation and compliance improvements are a by-product of both the Business Needs Assessment and the OTM service.

Please contact Tim Buhler at tbuhler@oakbrooksolutions.com or 704-483-2229 for further information.

Consulting Experts at your Service May 2009

Oakbrook Solutions is in the business of providing you with quality resources and maintains a pool of highly experienced and qualified staff. Summaries of the current pool's business and technical expertise follow:

Business Analysts with varying levels of experience are available. They have subject matter expertise in the areas of: client reporting, non-marketable assets, alternative investments, corporate actions, income processing, mortgage-backed securities, and mutual fund processing. They have business expertise in the areas of: testing, quality assurance, business requirements gathering, gap analysis, research and reconciliation, trust administration and data analysis. Their areas of systems expertise include: Trust 3000, AMtrust, OmniTrust, OmniPlan, Titan, Bloomberg, Global Plus, AddVantage, Advent and Charles River, other.

PMP Certified Project Managers are available having concluded several successful conversions. These implementations covered trust accounting and brokerage solutions. They ranged in size from several hundred to tens of thousands of accounts.

Training Resources are also available. They have designed and implemented education curriculums and employee engagement solutions in the areas of wealth management, brokerage and trust. These consultants have done class room training as well as developed desk-top procedures.

Oakbrook Solutions has developed significant expertise and resources in the area of workflow analysis, development and deployment. This pool of resources has a combination of functional as well as technical experience. They can develop processes that optimize and automate various areas of wealth management front, middle and back office operations.

Please contact George Village at gvillage@oakbrooksolutions.com or 336-714-0321 option 5, for your specific needs and available consultants.



WealthWorx® is a comprehensive Business Process Management (BPM) solution designed for the Wealth Management Industry

New enhancements to WealthWorx® since January 09:

- **CIP/KYC Automation** - Rules-based automation of CIP/KYC processes with full transparency and audit trail (CIP-Client Identification Program, KYC-Know Your Client)
- **Enterprise Exception Management** - Workflow-based automation and centralized reporting of Asset & Cash reconciliation and other exceptions
- **Financial Stability Program Compliance** - Dynamic platform to automate, manage, control and report on all activities associated with US Treasury Financial Stability programs

Please contact John Bovatsek at Jbovatsek@oakbrooksolutions.com or 757-362-3244 for a demonstration of our WealthWorx® suite of Business Process Management Solution